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**FROM:** Katherine D. Lee (Reg. No. 44,865)**DATE:** January 26, 2006

Number of pages with cover page:	22
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**Contents of this Transmission:**

Atty Docket No. 476172000100:

Inventor: Calvin B. GRIGSBY

Application No.: 09/896,831

Filing Date: June 28, 2001

Group Art Unit: 3628

Examiner: C. B. Graham

Title: METHOD AND APPARATUS FOR OFFERING, PRICING, AND SELLING  
SECURITIES OVER A NETWORK

**Documents:**

Transmittal (1 page)

Fee Transmittal w/duplicate copy for fee processing (2 pages)

Petition for Extension of Time 2-months (1 page)

Change of Correspondence Application (1 page)

Supplemental Application Data Sheet (2 pages)

Amendment in Response to Non-Final Office Action (14 pages)

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PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/896,831	
	Filing Date	June 28, 2001	
	First Named Inventor	Calvin B. GRIGSBY	
	Art Unit	3628	
	Examiner Name	C. B. Graham	
Total Number of Pages in This Submission	21	Attorney Docket Number	476172000100

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form w/duplicate copy for fee processing (2 pages) <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply (14 pages) <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (1 page) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Change of Correspondence Address Application (1 page) 2. Supplemental Application Data sheet (2 pages) 3. Facsimile Return Receipt Cover
Remarks: _____		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No. 20872)		
Signature	<i>Katherine Lee</i>		
Printed name	Katherine D. Lee		
Date	January 26, 2006	Reg. No.	44,865

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (571) 273-8900, on the date shown below.	
Dated: January 26, 2006	Signature: <i>Janet Holbrek</i> (Janet Holbrek)

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